

Adjusting ITE's Trip Generation Handbook for urban context

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Abstract: This study examines the ways in which urban context affects vehicle trip generation rates across three land uses. An intercept travel survey was administered at 78 establishments (high-turnover restaurants, convenience markets, and drinking places) in the Portland, Oregon, region during 2011. This approach was developed to adjust the Institute of Transportation Engineers (ITE) *Trip Generation Handbook* vehicle trip rates based on built environment characteristics where the establishments were located.

A number of policy-relevant built environment measures were used to estimate a set of nine models predicting an adjustment to ITE trip rates. Each model was estimated as a single measure: activity density, number of transit corridors, number of high-frequency bus lines, employment density, lot coverage, length of bicycle facilities, presence of rail transit, retail and service employment index, and intersection density. All of these models perform similarly (Adj. R² 0.76-0.77) in estimating trip rate adjustments. Data from 34 additional sites were collected to verify the adjustments. For convenience markets and drinking places, the adjustment models were an improvement to the ITE's handbook method, while adjustments for restaurants tended to perform similarly to those from ITE's estimation.

The approach here is useful in guiding plans and policies for a short-term improvement to the ITE's *Trip Generation Handbook*. The measures are useful for communities seeking to develop local adjustments to vehicle trip rate estimates, and all could be calculated from spatial data available in most locations. The paper concludes with a discussion on what long-term improvements to the ITE's *Trip Generation Handbook* might entail, with further implications in planning and practice.

1 Introduction

The Institute of Transportation Engineers (ITE) *Trip Generation Handbook* (Institute of Transportation Engineers 2004) and *Trip Generation: An ITE Informational Report* (Institute of Transportation Engineers 2008)¹ began collecting data on vehicle trip rates in the 1960s and focused on single-use, vehicle-oriented trip rates in suburban sites in the United States. Despite its widespread use, the ITE *Trip Generation Handbook* lacks the supporting information to apply these rates across a range of urban contexts. Today, there is national interest in building an evidentiary database that supports the estimation of trip generation for site-level analysis in transportation impact assessments (TIAs) for new developments located in urbanized areas that support multimodal transportation options such as infill locations, transit-oriented developments (TODs), or mixed-use developments (Lee et al. 2011, Daisa et al. 2009). For locations that support greater non-automobile mode shares, ITE recommends that local

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¹ For the remainder of this paper, ITE's *Trip Generation Handbook* will refer to both the handbook and the accompanying informational report.

rates be established via data collection: "If the site is located in a downtown setting, served by significant public transportation...the site is not consistent with the ITE data" (Institute of Transportation Engineers 2004). ITE acknowledges the limitations of the *Trip Generation Handbook* dataset in the omission of transit, non-motorized transportation facilities, mixed-land uses, and density but currently offers little guidance on how to address these shortcomings.

Planners are challenged to plan for the transportation impacts of infill, mixed use, and TODs without adequate evidenced-based guidance. Many jurisdictions have to balance the warnings on the limited applicability of the rates given in the ITE *Trip Generation Handbook* and the expense and effort involved in collecting local data (Nelson\Nygaard Consulting Associates 2005, E. Lerner-Lam et al. 1992, Badoe 2000, Fleet and Sosslau 1976). Despite evidence that a more compact urban form, access to transit, and a greater mix of uses generates fewer and shorter vehicle trips, local governments are often compelled to use current ITE trip generation rates to evaluate transportation impacts and calculate transportation system development charges. The expense of collecting local data, combined with the lack of alternative sources of information or empirically tested methods may lead to the application of the ITE's *Trip Generation Handbook* in areas outside the scope of its guidelines for use.

When analysts ignore the impacts of transit, pedestrian infrastructure, bicycle facilities, and urban settings on vehicle trip generation, vehicle trips may be vastly overestimated. High vehicle trip estimates increase the amount of vehicle-oriented development, necessitating other automobile priority measures. More vehicle use, greater capacity, abundant parking supply, faster travel times, and fewer automobile alternatives are all related to overestimating vehicle trip rates. Further, developers may be hesitant to locate new developments in infill or TOD areas because of the increased impact from fees that may result from the overestimation of vehicle trips (Cervero and Arrington 2008, Ewing et al. 2011).

Alternatively, some communities rely on the application of adjustments to the ITE rates for different contexts (e.g., a 10 percent reduction in ITE rates in areas with high-frequency transit service) (Virginia Department of Transportation 2010, City of San Diego 1998, City of Rockville 2011). However, these reductions are sometimes derived without empirical basis and applied arbitrarily. In these cases, "rule-of-thumb" adjustments may lead to the under-estimation of vehicle trips, which can lead to the undersupply of automobile infrastructure, potentially resulting in inadequate parking, congestion and delay. Thus, accurate estimation of vehicle trips for new development is important for both short-term accommodation of transportation system users as well as planning for the maturity of urban developments over the long term.

To remedy this need, this paper presents a methodology to adjust the ITE *Trip Generation Handbook* rates to better reflect the relationship between land use, transportation, and travel demand for specific land-use types located in various urban settings. The project collected data (using counts and intercept surveys) on person and vehicle trip rates for a limited number of land-use types (restaurants, 24-hour convenience markets, and drinking places). These new trip rates were compared to the ITE rates for the same land-use category and establishment size, and from this a set of models for adjusting the ITE rates as a function of built environment attributes was estimated and verified using additional data collected from establishments at other locations. Although developed from a limited number of land-use types using data collected in the Portland, Oregon, metropolitan area, the findings punctuate the need for adjustment of the ITE trip rates for infill and urban contexts; the analysis points to the key built environment attributes that can be used to define context; and the models provide a replicable approach that can be expanded to other locations and other land-use types.

The remainder of this paper is organized as follows. The next section summarizes the state of the practice in how communities are estimating vehicle trip rates for different urban contexts. This is followed by a description of data collected in this study. The methods, the model estimation results,

and verification are presented. Finally the implications for planning and practice are discussed in the conclusions.

2 Literature review

The ITE *Trip Generation Handbook*, and accompanying *Informational Report*, is the most commonly referenced and utilized practical guideline for predicting vehicle trip rates during the development process. ITE also recommends using an approach developed by JHK and Associates et al. (1996) published in the *Trip Generation Handbook* (Institute of Transportation Engineers 2004) that reduces vehicle trip generation for locations in closer proximity to transit with supportive land uses, i.e., greater density, higher floor-to-area ratios, and available pedestrian and cycling facilities. This report was published as a draft and is only presented in the *Trip Generation Handbook* to provide guidance; it does not provie reductions based on context. The ITE has also supported Gard's approach (2007) for adjusting trip rates for assessing the impacts of transit-oriented developments.

Several studies have already been performed to compare the ITE predicted vehicle trip rates to observed trip rates. Existing research has examined the differences between observed trip rates and the ITE predicted rates through comparison of the ITE predicted rates to observations at a particular type of urban context or development. The studies reviewed compared the ITE predictions to sites in mixed-use development, TOD, development near transit, urban-core areas, and suburban activity centers. To evaluate these studies together, we have summarized the results of 13 studies performed between 1987 and 2011 (Cervero 1993, Cervero and Arrington 2008, Colorado/Wyoming ITE Section Technical Committee–Trip Generation 1987, Dill 2008, Fehr and Peers 2008, Hooper 1989, Jeihani and Camilo 2009, Kimley-Horn and Associates 2009, Lapham 2001) in Table 1. The error reported within the table indicates the mismatch between observations and the corresponding ITE trip generation estimate. A positive number means observed vehicle traffic was greater than the ITE estimate, and a negative number means fewer vehicles were observed than estimated by the ITE method.

The greatest range of error in ITE's estimation of vehicle trips occurred in central business district/ urban core/downtown areas, followed by mixed-use development. Error occurred both in over- and under-estimating vehicle traffic for retail and residential uses. Dining, office, and service uses all had actual vehicle trip rates below the ITE estimated rates.

The automobile mode share is provided in Table 1 for studies that counted person trips and calculated persons taking a vehicle. The central business district/urban core/downtown area shows the largest range of automobile mode share. But sites in suburban activity centers and corridors contain a substantial range: automobile mode shares were observed to be as small as 54 percent. Places with substantial non-automobile mode shares further highlight the inapplicability of the ITE vehicle trip rate estimates in urban contexts, as the ITE method accounts for vehicle travel and does not address person trip rates. Many jurisdictions, however, have acknowledged the shortcomings and developed local adjustments to the ITE estimated vehicle trips in their own transportation planning policies.

To summarize the state of the practice of the ITE rate adjustment, we reviewed 23 jurisdictional guidelines for local adjustment from around the United States and Canada (Baltimore City Department of Transportation 2007, Bedford County Department of Planning 2004, Charlotte Department of Transportation 2006, City of Bellingham 2012, City of Bend 2009, City of Henderson 2009, City of Los Angeles Department of Transportation 2010, City of Mississauga 2008, City of Pasadena 2005, City of Rockville 2011, City of Salem 1995, City of San Diego 1998, City of Sedro-Woolley 2004, City of Vancouver 2010, Georgia Regional Transportation Authority 2002, Harris County, Texas, 1991, Montgomery Planning 2010, New York City, 2010, San Diego Municipal Code 2003, San Francisco Planning Department 2002, Southern New Hampshire Planning Commission 2010, Flordia Depart-

ment of Community Affairs 2006, Virginia Department of Transportation 2010). This review encompassed a wide range of cities from New York City to Bend, Ore., and identified current trends in estimating trip generation rates and conducting traffic impact assessments. Table 2 summarizes this review. Of the jurisdictions, 22 identify the ITE *Trip Generation Handbook* rates and methods as appropriate for their local contexts if local rates or studies are not available, and the 23rd made no recommendation. Six jurisdictions provide local vehicle trip generation rates of some sort. Six jurisdictions have methods that allow for bicycle, pedestrian, or transit adjustments to be applied to trip generation rates from mode share information.

	A.M. Peak	P.M. Peak	Automobile Mode Share
Central business district/urban core/downtown	-93% to +1109%	-99% to +11%	8% to 100%
Eating/restaurant	-93% to -57%	-99% to -70%	17% to 57%
Office	-80% to -22%	-62% to -21%	56% to 95%
Residential	-83% to +15%	-80% to +11%	14% to 85%
Restaurant	-35%	-26%	34% to 60%
Retail	-17% to +1109%*	-22% to +8%	8% to 100%
Services	-14%	-66%	
Shopping	+30%	+3%	
Mixed-use development	-109% to +181%	-170% to +61%	
Mixed	-109% to +38%	-80% to +61%	
Town center	-108% to +181%	-170% to -35%	
Transit-oriented development	-90% to +20%	-92% to +35%	50% to 96%
Office			50% to 96%
Residential		-92% to +35%	53% to 93%
Development near transit	-58% to +72%	-36% to +51%	28% to 90%
Office			28% to 90%
Residential	-58% to +72%	-36% to +51%	33% to 82%
Suburban activity centers and corridors	-37% to -5%		54% to 98%
Office	-37% to -20%		
Residential	-5%		
Shopping			54% to 98%

Table 1: Summary of ITE trip rate error findings of 13 studies.

* This retail shop located in Oakland, California, had an observed a.m. peak trip count of 133 vehicle trips and an ITE estimated count of 11 vehicle trips.

From the review of 13 studies comparing the ITE vehicle trip estimates to observed behavior and the review of 23 jurisdictions with trip generation methodology adjustments, it is clear that (1) the ITE *Trip Generation Handbook* does not provide accurate or consistent vehicle trip estimates across different urban contexts; and (2) there is no consensus across the jurisdictions about how to quantify the adjustment to the ITE trip rates for urban context.

Alternative approaches to estimating trip generation or adjusting the rates provided in the ITE *Trip Generation Handbook* are available. In the United States, several models and methods have been developed to address various issues related to estimating vehicle trips or adjustments to the ITE methodologies. URBEMIS (Nelson/Nygaard Consulting Associates 2005) is a pivot model that regionally adjusts the ITE rates at single-use sites based on built environment features. INDEX (Hagler Bailly Services and

Criterion Planners/Engineers 1999) is a GIS-based post-processor that evaluates environmental impact based on regional travel model output and scenarios of policy changes to the built environment.

Table 2: Summary of traffic-impact study guidelines for 23 jurisdictions.

Trip Generation Methodologies
 15 of 23: Allow use of the ITE trip-generation rates as a primary method. 7 of 23: Allow use of the ITE trip-generation rates as an alternative method (typically after the use of local provided rates or comparable data collection). 4 of 23: Provide some maximum reduction applicable to trip-generation methodologies. 3 of 23: Recommend using previously collected and stored trip-generation rates (WSDOT). 6 of 23: Provide local trip-generation rates to be used as a primary source for estimation. Three of these inclus some combination between local rates and the ITE rates using travel surveys to inform the transition between vehicle trips and person trips (mode share and vehicle occupancy). 6 of 23: Recommend comparable data collection to development type and location. This is also recommend within the ITE trip-generation methodologies. 1 of 23: Allow for alternative methods to be used, upon approval.
Transit Adjustments
 14 of 23: Allow some adjustment for transit use. 7 of 14: Provide fixed-trip credit or percent adjustment for transit accessibility. 6 of 14: Allow for application of mode-share rates. One of these mentioned the need for documentation vehicle occupancy. 2 of 14: Provide maximum transit-reduction limitations. 2 of 14: Provide reductions based on location within transit-oriented development (TOD) or area (TOA).
Bike/Walk Adjustments
 13 of 23: Allow some adjustment for walking or bike travel. 6 of 13: Allow for application of mode-share rates. One of these mentioned the need for documentation vehicle occupancy. 3 of 13: Provide fixed-trip credit or percent adjustment for walk/bike amenities. 1 of 14: Provide maximum reduction (combined with transit-reduction) limitations.
Mixed-Use or Internal Capture Adjustments
 14 of 23: Allow some internal capture or mixed-use adjustments. 5 of 14: Accept the ITE trip-generation internal capture methods or data as being acceptable. 2 of 14: Provide maximum internal capture rate adjustments. 2 of 14: Provide fixed internal capture adjustments or guidelines based on local context.
Miscellaneous Comments
 7 of 23: Allow for reductions for transportation demand management methods. 4 of 23: Provide some adjustment or special local rate by area type or district.

At multi-use developments, methods to better account for internal capture (Bochner et al. 2011) and better estimate trip generation at mixed-use sites (San Diego Association of Governments 2010) have been developed. However, the authors of a recent evaluation of available smart growth trip generation methodologies have acknowledged that "no clear winner emerges among currently available methods" (Lee et al. 2011). Few of these methods address urban context directly in their formulation.

Beyond North America, similar methodologies to the ITE method exist to estimate vehicle trip generation rates. The Austrailian-based system "New South Wales Roads and Traffic Authority" (New South Wales Roads and Traffic Authority 2002) provides a dataset similar to the ITE's, but when trip rates for a particular land use are not available for Australia, the ITE handbook is a recommended option. The United Kingdom and Ireland (Trip Rate Information Computer System 2012) and New Zealand (New Zealand Trips and Parking Database Bureau 2012) require multi-modal information in the evaluation of the transportation impacts of every development, consider the location's area type, and continuously update data. Urban context has been acknowledged in these places as an important factor

to consider in the estimatation of vehicle trip generation rates.

One critical gap for addressing this issue is the lack of a functional definition of urban contexts. A vast body of research exists to confirm that various aspects of built environment are significantly related to travel behavior. The Ds of development—measures of density, diversity, design, and distance to transit—have been used most often as individual correlates to levels of automobile use (Cervero and Kockelman 1997, Ewing and Cervero 2001, Lund et al. 2004, Samdahl 2010, Seskin et al. 1996, Dill 2003, Ewing and Cervero 2010). Area types, or urban contexts, represent a number of individual built environment measures taken together to categorize places, and they are also significantly related to levels of automobile travel (Seskin et al. 1996, Dill 2003, Schneider 2011). The literature shows that places in central business district, urban core, and downtown areas tend to have the lowest levels of automobile mode shares and the greatest differences to the ITE rate estimates, but suburban areas are not always as automobile oriented as often assumed (Schneider 2011, Samdahl 2010). However, the issue of defining context in modeling applications is confounded by the high correlation between different measures of the built environment and aggregate indices. To address this, many construct aggregate indices to represent context, which perform better in models, but lack the specificity needed to direct urban policy and tend to be limited in their application beyond the location where they are developed.

In this study, we present an approach to adjust the ITE *Trip Generation Handbook* rates based on urban context. The models developed here are based on an extensive data collection effort in the Portland, Ore., region. Adjustment models to the ITE *Trip Generation Handbook* rates based on context are useful in many ways. Models of this type provide easy-to-use alternatives to the ITE's *Trip Generation Handbook* rates and improve upon other alternatives introduced in this literature review. We also contribute an evaluation of the ITE *Trip Generation Handbook* rates to existing establishments in the Portland region. By focusing on context, built environment measures—both individual measures and combinations of them—can be assessed for impacts on travel behavior to provide a contribution to that body of knowledge. This method also provides a basis for other regions to develop adjustments to the ITE rates based on urban contexts.

3 Data and methods

Data for this study were collected in 2011 from June through early October at 78 sites in the Portland region. Data collection events occurred from 5 p.m. to 7 p.m. on Mondays, Tuesdays, Wednesdays, and Thursdays to better capture evening visitors to bars and restaurants. This time frame includes one hour of the weekday evening peak hour of the facility (5 p.m. to 6 p.m.) consistent with the ITE *Trip Generation Handbook*. Because of the limited number of sites included in our study, the study controlled for weather conditions by only collecting data on days with no precipitation over the five-month span of data collection. This limitation in data collection has the potential to introduce bias due to a greater number of non-automobile trips that might be taken when there is no precipitation. Typically summertime data collection is usually avoided in transportation studies because of changes in travel behavior routines that occur with vacation and children being out of school. On the other hand, restaurant and bar total overall trip rates may actually be greater during this season. The ITE currently does not account for weather or seasonal variation in the data it compiles, so it is difficult to compare the impact of our study limitations with the ITE's data.

3.1 Establishment types and site selection

Land-use types (the ITE's land use code shown in parentheses) included in this study are: (a) high-turnover (sit-down) restaurants (LU 932), (b) convenience markets (open 24-hours) without gas stations (LU 851) and (c) drinking places (LU 925). These land uses were selected because they can be found in all area types including places where vehicle trip overestimation has proven most problematic: urban infill, mixed-use, and TOD areas.

Establishments were selected based on characteristics of their surrounding built environment. To ensure representation of the establishments located in a broad spectrum of urban environments found in the Portland region, a sample frame was developed from a complete census of business establishments of these land use types from the 2010 referenceUSA database (Infogroup 2011) covering these land-use types over the Portland metropolitan region. For each business establishment in this sample frame, the following built environment characteristics were collected using archived spatial information at a 0.8-kilometer (0.5-mile), straight-line buffer around the business location: intersection density, average block size, percent of dwellings that are single-family detached, percent of retail employment, and percent of lot building coverage. A k-means clustering analysis of these built environment data over the sample frame resulted in five classifications of area-types that roughly corresponded to: central business district neighborhoods; urban core neighborhoods; neighborhood and regional centers; suburban town centers and corridors; and suburban areas. These area types were only used to segment the sample frame of establishments and ensure businesses were recruited from each area type. The cluster types were not used in the subsequent statistical analysis or estimation of adjustment models.

This sample frame segmented by area type was used to recruit business establishments to participate in the study. Business managers were contacted by mail and follow-up telephone call. The resulting sample of 78 establishments is shown in Figure 1. Establishments in more dense and mixed-use areas were over-sampled to ensure sufficient representation in the dataset, as we hypothesized that these locations were likely to have fewer vehicle trips. Information on business square footage was collected directly from business managers where possible, and using regional building information data (RLIS) or estimating using the building footprint (for single-story establishments) from Google Earth.

Most establishments in the study are regionally owned and operated franchises. Local establishments were more willing to participate in the study than national corporate franchises. As such, most were under 3,000-square-feet gross floor area and may cater to a different market segment than patrons of national chains. This is one limitation of the study; on the other hand, these are more typical of the size and type of establishments that may choose to locate in infill developments.



Figure 1: Site location map.

3.2 Site visitor surveys

Visitor intercept surveys were administered to visitors as they left the establishment or site. A five-minute survey was administered using an electric handheld tablet (Clifton et al. 2012). A shorter survey was administered for those initially refusing the tablet survey. Key information collected from both survey instruments included travel mode(s), vehicle occupancy and home location. An average of 24 surveys was collected at each of the 78 establishments, for a total of 1884 surveys. The overall response rate was 52 percent for all surveys. More detail on sample size is provided in Table 3.

Demographic characteristics of survey respondents are compared to data from the 2010 US Decennial Census and the American Community Survey for the Portland Metropolitan Statistical Area in Table 4. From this comparison, the respondents of the survey appear to be similar to the area population characteristics of household income, vehicle ownership and household size.

Агеа Туре	High-Turnover (Sit-down) Restaurants	Convernience Markets (Open 24-hours)	Drinking Place	Total
Number of establishments	39	26	13	78
Central business district	12	4	3	19
Urban core neighborhoods	10	5	6	21
Neighborhood and regional centers	6	6	4	16
Suburban town centers	5	7	0	12
Suburban areas	6	4	0	10
Visitor survey sample size				
Long survey (N)	309	281	107	697
Short survey (N)	369	710	108	1187
Response rates				
Long survey	24%	14%	30%	19%
Short survey	52%	61%	50%	52%

Table 3: Establishments surveyed by area and land-use type, survey sample size.

Table 4: Survey demographics compared to US Census data.

Variable	Survey Observed*	2010 Census/ACS Portland (MSA)
Median household income per year	\$50,000 - \$99,000	\$55,618
Average household income per year	\$50,000 - \$99,000	\$72,200
Median age	25-34	36
Male respondents	57%	49%
Average # vehicles per household	1.6	1.7
Average # bicycles per household	1.7	NA
Average # transit passes per household	0.5	NA
Average # adults per household	2.2	NA
Percentage of households with children	29%	33%
Average household size	2.5	2.5

*Note: Demographic data if from the long survey only (N = 697).

3.3 Person and vehicle counts

At every entrance to the various business establishments in the sample, persons were counted entering and exiting during the survey period using direct observations, and the resulting average person counts by land-use type are shown in Figure 2. Person count information is crucial when expanding methods for transportation impact analysis and trip generation studies to account for all modes of travel.

Likewise, counts of vehicles exiting the site were also collected when feasible, typically when the site had parking adjacent to the store entrance. Average vehicle counts by land-use type are shown in Figure 3. Vehicles were not typically counted at sites located in urban areas when adjacent parking lots were not present or at sites with one shared parking lot for several establishments. It was beyond the resources of the study to track or follow people to their vehicles upon leaving the survey establishment solely to count vehicle trips.

Of the 78 sites in the study, vehicle counts were obtained for 44 sites. To account for the remaining 34 sites, the observed mode share, the observed vehicle occupancy rate, the total entering and exiting person counts, and the size of the establishment were used to calculate an estimated vehicle count for every study site ^{2,3}. We then compared the estimated vehicle trip rate to the observed rate for the 44 locations with both a vehicle count and a vehicle estimate using Equation 1. The overall ratio was 1.02 observed vehicles for every 1.00 estimated vehicles, a very slight underestimate on average.

Vehicle trips per 1000 sq. ft.
Vehicle Trip Rate_{study} =
$$\frac{(P_{IN} + P_{OUT})_{Obs}(\%AUTO)_{Survey}}{VEH OCC_{Survey}} \times \frac{1}{1000 Sq. Ft. Area}$$

Where:

 P_{IN} = Person count entering the establishment (observed), P_{OUT} = Person count exiting the establishment (observed), %AUTO= automobile mode share (from the visitor survey), and VEH OCC = Average vehicle occupancy (from the visitor survey)



Figure 2: Observed person trips by establishment type.

² For locations where the total survey sample size was small, less than 10 completed surveys, the mode share or vehicle occupancy rates used to calculate the vehicle trip end estimates from person counts were derived from the aggregate mode share or vehicle occupancy rates for each land use. ³ We control for establishment size in Equation 1 to compare estimated and observed vehicle trip rates of different sites and to correspond with the ITE method.

(1)



Figure 3: Observed vehicle counts by establishment type.

3.4 Built environment data

To test a variety of measures associated with urban context, built environment information for each site was gathered from archived data sources within a buffer area of 0.8-kilometer (0.5-mile) radius (Euclidean distance) from each establishment. The measures that were included in this study were selected based on their prominence in the travel behavior and built environment literature and their relative availability in locations throughout the United States in order to reproduce this method elsewhere. The built environment measures used in this study are shown in Table 5 and include: number of transit corridors, activity density (residential and employment), number of high-frequency transit stops, employment density, average lot coverage, extent of bicycle facilities, retail and service employment density index, access to rail transit, and intersection density. The average and range of values for the 78 study sites are also shown, and our analysis is limited to the range of characteristics of the observations in our sample, and thus the subsequent findings may not be valid for locations with values exceeding those in Table 5.

Most of these measures are straightforward calculations from archived spatial data and commonly used in the travel behavior literature. The retail and service employment index is a measure of local access to retail and service destinations. This index is based on the different retail and service establishments that accommodate everyday non-work activities, e.g., food or clothing stores, restaurants, laundry services, supply stores and bookstores. The region is divided into raster cells 264 ft. x 264 ft. For each raster cell, the index is computed by calculating the number of retail and service establishments that are located within a 0.8-kilometer (0.5-mile) radius using a kernel density function, and the values are classified into categories using a 1-to-5 scale using a Jenks' natural breaks algorithm. In this analysis, the average index value across all of the raster cells within a 0.8-kilometer (0.5-mile) radius used.

This Retail and Service Employment Index was developed by Metro and based on disaggregate and confidential information on individual business establishments. Absent access to these discrete data, we relied on this index in the development of our own measures. Because the discrete, ordinal nature of the Retail and Service Employment Index does not capture the variations in the number of businesses across space, we take an average at the 0.8-kilometer (0.5-mile) buffer area to better represent this relative variation. If discrete data on business establishments were available, a more simple and direct representation of the built environment could be computed.

These built environment measures are highly correlated (see Table 6) and thus cannot be used reliably together in a statistical model in this disaggregate form. However, the authors do recognize that these various dimensions of the built environment work together to define urban context and influence travel choice.

Measure	Units	Data Source*	Average	Range
A) Number of Transit Corridors	Number of transit bus/rail lines within 0.8 kilometer (0.5 mile)	Light-rail and Bus Stop layer (RLIS, 2010)	24	0 to 112
B) Person Density	Residents and employees per 4047 square meters (1 acre)	ESRI Business Analyst (2010) and Multifamily/ Household layers (RLIS, 2010)	34	7 to 164
C) Number of High-Frequency Transit Stops	Number of stops within 0.8 kilometer (0.5 mile) with headways under 15 minutes	Bus Stop layer (RLIS, 2010) and TriMet schedules (2011)	47	0 to 244
D) Employment Density	Employees per 4047 square meters (1 acre)	ESRI Business Analyst (2010)	21	0.4 to 141
E) Lot Coverage	Percent	Tax lot and Building Layers (RLIS, 2010)	28%	9% to 67%
F) Length of Bike Facilities	Miles	Bike Route layer (RLIS, 2010)	5.4	0.2 to 11.0
G) Retail and Service Employment Index	Density index based on the number of retail and service establishments within 0.8 kilometer (0.5 mile)	Metro Context Tool, Portland Metro	2.1	1.0 to 4.2
H) Access to Rail **	Presence of rail station within 0.8 kilometer (0.5 mile)	Light-rail Stop layer (RLIS, 2010)	45%	No to Yes
I) Intersection Density	Intersections per 4047 square meters (1 acre)	Lines file (TIGER 2009)	0.22	0.01 to 0.56

Table 5: Built environment measures and summary statistics.

* RLIS is the Regional Land Information System, Portland Metro; TriMet is the regional transit agency.

** Binary measure indicating presence of rail within the 0.8-kilometer (0.5-mile) Euclidian buffer.

		Number of Transit Corridors	Person Density	Number of High- Frequency Transit Stops	Employment Density	Lot Coverage	Length of Bike Facilities	Retail and Service Employment Index	Access to Rail	Intersection Density
A	Number of Transit Corridors									
B	Person Density	0.934								
С	Number of High-Frequency Transit Stops	0.941	0.956							
D	Employment Density	0.933	0.988	0.939						
E	Lot Coverage	0.749	0.851	0.831	0.819					
F	Length of Bike Facilities	0.799	0.846	0.804	0.810	0.816				
G	Retail and Service Employment Index	0.781	0.887	0.844	0.837	0.921	0.862			
Η	Access to Rail	0.571	0.531	0.473	0.539	0.396	0.542	0.471		
I	Intersection Density	0.622	0.727	0.753	0.683	0.899	0.777	0.828	0.301	

Table 6: Pearson's correlations for built environment measures at study sites.

4 Analysis

The aim here is to explore the relationship between urban context, as captured by a variety of built environment measures, and vehicle trip generation with a larger goal of developing a consistent and reliable method for adjusting the ITE trip generation estimates to control for urban context. To this end, this section describes our key assumptions, the data analysis and methodological development of these models.

4.1 Person trip rate assumption

A critical assumption in this study is that person trip rates for a specific establishment type (land-use category) and size (gross floor square footage or similar measure) are similar across urban contexts. Thus, it is the distribution of those person trip rates across various modes of transportation that varies by context. Figure 4 provides an illustrated example. If this hypothesis holds true, it suggests that automobile and non-automobile trips may be substitutes (person trip rates are constant) rather than complements (non-automobile trips may be additional trips). If non-automobile trips are complementary (vary across contexts), the ability to compare the ITE vehicle trip rates with data collected here proves difficult because the ITE does not collect information on person trip rates or the use of non-automobile modes. In the case of complementarity, the error between observed and estimated vehicle trip rates cannot be distinguished from non-automobile trip rates.





We test this assumption using our person trip data collected in this study. The average person trip rate (trips per square foot of gross floor area) from the p.m. peak hour (5 to 6 p.m.) across land-use types is tested for significant variance across contexts. Statistical analysis of variance (ANOVA) is performed for: (1) all land uses combined across contexts (pooled data) and (2) specific land-use types across contexts (data segmented by establishment type). The null hypothesis (H0) states that average person trip rates are equal across contexts. Hypothesis testing is performed by one-way analysis of variance statistical means testing at 95 percent confidence. In every case, we fail to reject the null hypothesis⁴, meaning the average person trip rates per building area are not significantly different across urban contexts. This result suggests that our assumption appears to be applicable and person trips do not vary significantly for establishments of a specific size and type.

4.2 Comparison of study vehicle trips tates with the ITE trip rates

This section details a comparison between vehicle trips based on study observations and the ITE *Trip Generation Handbook* estimates of vehicle trips for the establishments included in this study. To do so, we calculate vehicle trip rates (trips per 1000 sq. ft.) for each establishment in our study using the observed person trip counts and the mode share and average vehicle occupancy at each establishment based upon the survey data (see section 3.3).

These comparisons of vehicle trips for each of the land-use types used in our study (restaurants, drinking places and convenience markets) for the weekday peak hour of the facility (5 to 6 p.m.) are shown in Figure 5, Figure 6, and Figure 7. As shown in Figure 5 and Figure 6, the vehicle trips from this study are consistently below the ITE rates and the ITE data points for convenience stores and drinking establishments. For high-turnover (sit-down) restaurants, the vehicle trips from this study and the

⁴ Results from ANOVA tests: (1) pooled data, F(4,73) = 0.62, p = 0.652; (2) convenience stores, F(4,21) = 1.86, p = 0.155, restaurants F(4,34) = 1.97, p = 0.121, drinking establishments, F(2,10) = 1.98, p = 0.189., restaurants and drinking establishments combined, F(4,47) = 1.07, p = 0.382.

estimates of the ITE vehicle trips are similar as shown in Figure 7. Table 7 shows a comparison of these vehicle trip rates for all three land uses.

The ITE has criteria for adoption of the ITE *Trip Generation Handbook* methodology for local use and these are shown in Table 8. All conditions must be met to consider application of the ITE *Trip Generation Handbook* data for a local context. If not, the development of a local rate or equation is recommended (Institute of Transportation Engineers 2004). Based on these criteria and the results presented in Table 7, we recommend a local adjustment to the ITE *Trip Generation Handbook* rates for convenience stores and drinking establishments. However, we do not have sufficient evidence to show that the ITE rates for high-turnover (sit-down) restaurants are inadequate for the Portland region. Nonetheless, because the establishment sample size is too small to estimate segmented models for each land use, we include restaurants to increase the sample size used in our estimation of models to adjust the ITE's trip rates.

We hypothesize that the differences between these trip rates are largely due to differences in the travel modes visitors use to access/egress these sites, which is associated with the urban built environment characteristics where the establishment is located. As discussed in the previous section, this is supported by the fact that person trip rates are similar across area types. This points to the need to adjust the ITE rates for urban context, and the next section presents our approach to doing so.



Figure 5: Convenience market (open 24-hours) (LU 851): Weekday, peak hour of adjacent street traffic, 4-6 p.m.



Figure 6: Drinking place (LU 925): weekday, peak hour of adjacent street rraffic, 4-6 p.m.



Figure 7: High-turnover (sit-down) restaurant (LU 932): Weekday, peak hour of adjacent street traffic, 4-6 p.m.

ITE Land Use		Convenience Market (Open 24 hours)		Drink	ing Place	High-Turnover (Sit- Down) Restaurant		
ITE land-use coo	le		851		925		932	
Sample size (N)			26		13		39	
		Mean	Std. Dev.	Mean	Std. Dev.	Mean	Std. Dev.	
Average gross establishment (s	Average gross floor area of establishment (square footage)		278	3197	2881	1747	871	
Person Trips	Enter	57.0	29.6	35.0	15.3	28.1	18.2	
(observed)	Exit	52.3	29.2	16.8	5.6	24.9	12.0	
Vehicle Trips (Observed)	Exit only	48.8	21.4	7.1	9.4	20.8	18.9	
Study vehicle trip per 1000 sq. ft. a	Study vehicle trip rate (vehicles per 1000 sq. ft. area)		10.8	4.9	2.3	12.3	8.3	
ITE vehicle trip rate (vehicles per 1000 sq. ft. area)		52.4	21.4	11.3	9.1	11.2	8.0	
Vehicle trip rate ((Study-ITE)	difference	-31.6	10.8	-6.4	2.3	1.2	8.3	

Table 7: Comparison of vehicle trip rates.

Table 8: The ITE criteria for using the ITE trip generation methods and data.

ITE Criteria	LU 851: Convenience Market (Open 24-Hours) (N=26)	LU 925: Drinking Place (N=13)	LU 932: High-Turnover (Sit-Down) Restaurant (N=39)
1) A trip generation study (with at least three locations) provides a vehicle trip rate that falls within one standard deviation of the mean provided by the ITE	TGSRATE (20.8) does not fall within one standard deviation ITERATE (31.0 - 73.8)	TGSRATE (4.9) falls within one standard deviation ITERATE (3.3 - 19.4)	TGSRATE (12.3) falls within one standard deviation ITERATE (2.0 - 20.3)
2.A) At least one study site has a rate that falls above the ITE weighted average or equation, and one that falls below; OR	0 locations fall above, 26 locations fall below	0 locations fall above, 13 locations fall below	17 locations fall above, 22 locations fall below
2.B) All study locations fall within 15% of the ITE average rate or equation (TGSRATE - ITERATE) / ITERATE) < ±15%	1 of 26 location falls within 15%	0 of 13 locations fall within 15%	7 of 39 locations fall within 15%
3) Locally collected studies fall within the scatter of rates provided by the ITE	Appear slightly below	Appear below	Appear within scatter
4) "Common sense" indicates appropriate use of the ITE rates for location application	Vague	Vague	Vague
Conclusion	Local rate or adjustment is recommended.	Local rate or adjustment is recommended.	Use of the ITE methods may be appropriate.

Note: bold indicates a met criterion.

4.3 Urban context adjustment models: Estimation and verification

Using the pooled-sample data collected for all establishments for the weekday p.m. peak hour (5 to 6 p.m.), nine separate adjustment models are estimated using ordinary least-squared multivariate regression to provide adjustments to the ITE's vehicle trip rates for urban context. The dependent variable for each of the models is the difference between the vehicle trip rate found in this study and the trip rate estimated using the ITE's methods (Institute of Transportation Engineers 2008, Institute of Transportation Engineers 2004). In most cases in this study, the vehicle trip rate given by the ITE is greater than that calculated based on our study observations, resulting in a negative value for the adjustment. In each of the nine models shown in Table 9 (numbered A through I), the independent variables include indicators of the land-use type (drinking establishments are the base case) and one of the built environment measures listed in Table 5. Despite the statistical caution of using highly correlated independent variables together, several models were estimated with combinations of these correlated built environment variables, but they did not yield significant improvement in model fit and were not more telling in terms of policy than those models employing a single built environment variable. The model specification is shown in Equation 2 below.

Adjustment models for urban context (2)

 $Adjustment = \beta_0 + \beta_1 Dummy_{Convenience} + \beta_2 Dummy_{Restaurant} + \beta_3 Built Environment_{A to I}$

Where,

 $\begin{aligned} Adjustment = Vehicle Trip Rate_{study} - Vehicle Trip Rate_{ITE}; \\ Dummy_{Restaurant} &= Indicator if the land-use type is a restaurant; \\ Dummy_{Convenience} &= Indicator if the land-use type is a convenience store; \\ Built Environment_{A to} &= One of the built environment measures (A-I) shown in Table 5. \end{aligned}$

From the estimation results shown in Table 9, all of these models perform quite well with adjusted R^2 ranging from 0.76 to 0.77. It is obvious from the parameter estimates that the land-use indicators contribute more to the adjustment than the built environment variables that represent urban context. This is expected since we had insufficient sample size to estimate separate models for each land-use type, and one would expect different land uses to have different trip generation rates.

However, once land use is controlled for, significant differences in trip generation rates can be attributed to the various context variables. In all models the built environment measures are negatively and significantly associated with the adjustment, although with varying levels. The negative coefficient indicates that the urban context measures are inversely correlated with the adjustment. This means that locations that have greater density, transit access, bicycle network, lot coverage and pedestrian connectivity are associated with vehicle trip rates that are lower than those predicted by the ITE's methodology. This makes sense given that one would expect greater non-automobile mode shares in locations with these built environment measures and thus require a reduction in the ITE vehicle trip rates. Interactions between the built environment and land-use type dummy variables were not significant and, therefore, were not included.

In models A through C, the built environment variables (number of transit corridors, activity density, and number of high-frequency bus routes) have greater significance with a p-value ≤ 0.01 , compared to a p-value ≤ 0.05 for the built environment variables in models D through I. Since each of these built environment variables has a different operational construct (units of measurement), examining their standardized coefficients is telling. Models A through C also have the built environment

variables with the largest standardized coefficients with number of transit corridors having the largest (-0.153), followed by number of high-frequency bus routes (-0.150) and activity density (-0.149). The other models (D-I) have similar model fits and significance levels, but there are some distinct differences from the standardized coefficients. Employment density has the greatest explanatory power of these remaining built environment variables with a standardized coefficient of -0.143 and the binary variable indicating access to rail transit within the 0.8-kilometer (0.5-mile) buffer has the lowest with a standardized coefficient of -0.116.

In terms of application, the results of this estimation alone do not provide sufficient direction to guide the use of these models or for the direction of future research needed to further inform models. To aid in this and better understand the performance of these models, we verified these model estimation results using independently collected data. We use the word "verification" instead of "validation" due to sample sizes required to warrant statistical merit. Because of segmentations across different land uses and urban contexts, the verification sample would need to be increased fivefold to meet statistical requirements for a validation effort. Vehicle count data were collected from 34 additional establishments (10 convenience stores, 12 drinking places, and 12 restaurants) with varying built environment attributes in April and May of 2012, and the summary statistics for these locations are shown in Table 10. For these sites, vehicle counts entering and exiting the locations were collected using the same methodology outlined above. Using each of the estimated models A through I from Table 9, the adjustment was calculated. Then this adjustment was applied to the ITE vehicle trip rate for each establishment, and the new adjusted rate was used to estimate the number of vehicle trips for that location for the evening weekday peak hour. This model application was compared to the observed vehicle trip data collected for each of the sites. The results of this verification exercise aggregated by land-use type are shown in Table 11, Table 12 and Table 13.

From these tables, we can see that the models developed and verified here for convenience markets and drinking places predict vehicle trips better overall than the ITE methods alone. From Table 11, the mean squared error (MSE) for the estimates developed from data and methods outlined in the ITE's *Trip Generation Handbook* is 1121 for convenience markets, compared to a MSE range of 36-45 for the context adjustment models developed here. In addition, the ITE overestimated vehicle trips in every case (N=10) with an average percentage error of 195 percent. From the verification results for drinking places shown in Table 12, the MSE for the ITE's estimates is 30, compared to a range of 8 to 24 for the urban context adjustment models. For 11 of the 12 bars in the verification sample, the ITE estimates of vehicle trips were greater than the observed verification counts with an average percentage error of 129 percent. However, the verification results for restaurants are less supportive of the context adjustment approaches developed in this paper. From the results in Table 13, the ITE estimates appear to be commensurate with our adjustment models. This suggests that another approach may be necessary to adjust the ITE estimates or that the ITE method may be adequate for application in the evaluation of trip generation attributed to restaurants across urban contexts.

Model	Built Environment Measure (units)	Adjusted R ²	Intercept Coefficient		Convenience Market Coefficient		Restaurant Coefficient		Coefficient		Standardized Coefficient	
	Equation 2 Coefficients		β		β ₁		β2		β3			-
A	Number of transit corridors (count)	0.77	-4.31	*	-25.48	***	7.62	***	-0.09	***	-0.153	
В	Activity density (residents and employees per 4047 square meters (1 acre))	0.77	-3.41		-26.19	***	7.24	***	-0.07	***	-0.149	
С	Number of high-frequency bus routes (count)	0.77	-3.62		-26.07	***	7.19	***	-0.05	***	-0.150	
D	Employment density (employees per 4047 square meters (1 acre))	0.76	-4.24	*	-26.13	***	7.15	***	-0.08	**	-0.143	
E	Lot coverage (%)	0.76	-0.86		-26.60	***	6.97	**	-0.17	**	-0.131	
F	Length of bike facilities (miles)	0.76	-0.75		-26.24	***	7.55	***	-0.79	**	-0.131	
G	Retail and service employ- ment index (count)	0.76	0.64		-26.04	***	7.41	***	-3.29	**	-0.141	
Н	Rail access (binary)	0.76	-5.19	**	-24.31	***	8.09	***	-3.99	**	-0.116	
Ι	Intersection density (number per 4047 square meters (1 acre))	0.76	-2.20		-26.85	***	6.47	**	-14.35	**	-0.117	

Table 9: ITE rate adjustment models using built environment measures.

NOTE: N = 78

****p-value ≤ 0.01 *** p-value ≤ 0.05

*p-value ≤ 0.1

Built Environment Measure	Average	Range
Number of transit corridors	11	11 to 63
Person density	21	6 to 81
Number of high-frequency transit Stops	35	0 to 105
Employment density	9	1 to 72
Lot coverage	32%	22% to 52%
Length of bike facilities	5.9	2.8 to 10.3
Retail and service employment Index	1.79	1.12 to 2.78
Access to rail	29%	0 to 1
Intersection density	0.37	0.09 to 0.64

 Table 10:
 Summary statistics for verification study Sites (N=4).

		Mean	Average Per	centage Error ⁵	
		Squared Overestimated			
		Error	(count)	(count)	
Reference	The ITE's Trip Generation Handbook rate estimate	1121	195% (10)		
Adjustment Model Built Environment Measure Used for Adjustment					
А	Number of transit corridors	43	44% (6)	-17% (4)	
В	Activity density	41	37% (7)	-21% (3)	
С	Number of high-drequency Transit stations	36	45% (5)	-15% (5)	
D	Employment density	43	37% (7)	-23% (3)	
E	Percent lot coverage	32	38% (5)	-17% (5)	
F	Length of bike facilities	41	43% (6)	-18% (4)	
G	Retail and service employment index (count)				
Н	Presence of rail	45	48% (6)	-20% (4)	
I	Intersection density	36	25% (5)	-23% (5)	

Table 11: Verification of adjustment models for convenience markets (ITE land use: 851).

		Mean	Average Percentage Error	
		Squared	Overestimated	Underestimated
		Error	(count)	(count)
Reference	The ITE's Trip Generation Handbook rate estimate	30	129% (11)	-17% (1)
Adjustment Model	Built Environment Measure Used for Adjustment			
A	Number of transit corridors	9	78% (5)	-26% (7)
В	Activity density	9	72% (5)	-23% (7)
С	Number of high-drequency Transit stations	11	65% (5)	-32% (7)
D	Employment density	8	66% (5)	-26% (7)
E	Percent lot coverage	13	47% (3)	-38% (9)
F	Length of bike facilities	8	69% (4)	-28% (8)
G	Retail and service employment index (count)			
Н	Presence of rail	8	39% (3)	-26% (9)
I	Intersection density	24	38% (3)	-60% (9)

⁵ Percentage error is defined as the percent ratio of the estimate error (estimate minus observed) to the observed value.

		Mean	Average Percentage Error	
		Squared Error	Overestimated (count)	Underestimated (count)
Reference	The ITE's Trip Generation Handbook rate estimate	40	187% (6)	-29% (6)
Adjustment Model	Built Environment Measure Used for Adjustment			
А	Number of transit corridors	45	190% (8)	-25% (4)
В	Activity density	44	192% (8)	-23% (4)
С	Number of high-drequency Transit stations	41	178% (8)	-23% (4)
D	Employment density	44	187% (8)	-24% (4)
E	Percent lot coverage	36	168% (7)	-28% (5)
F	Length of bike facilities	40	200% (7)	-20% (5)
G	Retail and service employment index (count)			
Н	Presence of rail	41	187% (7)	-23% (5)
I	Intersection density	33	124% (7)	-38% (5)

Table 13: Verification of adjustment models: High-turnover (sit-down) restaurants (ITE land use: 932).

5 Discussion and recommendations

While this study tested a limited number of land uses in one metropolitan region, it confirms the need for amendments to the long-term industry standards provided in the ITE's *Trip Generation Handbook*. The results presented here demonstrate that the built environment plays an important role in the trip generation characteristics of developments and reinforces the need to consider urban context in the estimation of traffic impacts for new development. There is a consistent trend: For all land uses tested here, vehicle trip rates tend to decrease as the context becomes more urban. This evidence strongly supports the immediate need for an urban context adjustment to the vehicle trip rates derived from the ITE's *Trip Generation Handbook* for use in the near term.

This research fills this short-term need by providing a means to adjust the vehicle trip rates derived from the ITE's *Trip Generation Handbook* for the urban context of new development. The models estimated and verified in this study are simple, straightforward and consistent. As shown in Table 9, all of the measures of the built environment performed well in the models, and while there were differences across models and land uses, the verification results suggest that these built environment characteristics provide estimates of vehicle trip generation that are as good or better than those provided by the ITE's *Trip Generation Handbook*. They are based on a variety of built environment measures that represent urban context and have been shown in previous research to influence trip making and mode choice. These built environment measures are not unique to the study location. They can be easily computed from readily available archived spatial information from communities in other locations throughout the United States. Although this work is limited to only a few land-use types, the approach outlined here can be further verified or validated in other communities around the country and the approach expanded to include additional land-use types.

One drawback to the approach developed here is the inability to examine the impacts of more than one built environment characteristic and capture the complexity of the urban environment. As mentioned earlier, these built environment measures tend to be highly correlated and thus prohibited from use together as independent variables in models. One way to mitigate this approach is to define context through the creation of an index or some other distillation technique such as factor analysis. Although the creation of an urban context index would solve the econometric problem, it has two barriers to its widespread use. First, it lacks policy sensitivity in interpretation as the individual built environment measures, such as activity density and pedestrian connectivity, get combined into one. Second, the use of an index is limited to the area where it is created. Unless an index is created using national-level data, it would be difficult to transfer it to another urban area or generalize its relation to trip generation. Thus, the choice between using individual elements of the built environment and a combination is not an easy one when the goal is to have a sound method that can be applied anywhere.

The various shortcomings to the ITE's *Trip Generation Handbook* as a planning reference, including insensitivity to urban context, have been well documented in the previous literature. The work here provides more empirical evidence to support those critiques. Given the need for methodologies backed by empirical evidence that provide planning support for the automobile as well as non-motorized and transit modes in urban environments, a complete overhaul to the methods and data used in the *Trip Generation Handbook* or the development of an altogether new approach for assessing the transportation impacts of new development is necessary over the long term. Any new methods should consider the impacts of motorized and non-motorized modes for all person trips and would require an entirely different data collection agenda (see Clifton et al. 2013). Specifically, the current approach to methods and data provided by the ITE would be improved by a movement away from a focus on vehicle trips and expanded to consider person-trip information and multi-modal travel. Traffic impact analyses can be important and powerful planning tools, but only if they reflect the multi-modal nature of urban environments. The analysis should provide a basis for how these person trips are distributed across the various modes, as a function of site and urban context characteristics.

This study represents a first step in moving this bar forward and advancing national standards. Data for more land uses and covering a wider range of urban contexts are needed to inform a nationally relevant methodology. But many communities across the country already have a great deal of information from their own local trip generation studies to inform a larger-scale study and validate available methodologies for regional and urban context variations. The opportunity exists to make these data more readily available to researchers to help improve practice and create new professional standards that better reflect the multi-modal nature of our cities.

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